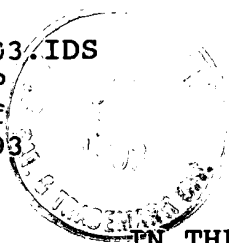


UMMC9103. IDS
EWM7:WP
EWM/baf
10/20/93



RECEIVED

NOV 01 1993

GROUP 1800

PATENT APPLICATION
Docket No.: UMMC91-03A

#2
11-59

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Harriet L. Robinson, Ellen L. Fynan and Robert G. Webster

Serial No.: 08/009,833

Group Art Unit: 1813

Filed: January 27, 1993

Examiner: L. Smith

For: IMMUNIZATION BY INOCULATION OF DNA TRANSCRIPTION UNIT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 10/21/93
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

B. J. Harris 10/21/93
Signature Date

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Honorable Commissioner of
Patents and Trademarks
Washington, DC 20231

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97(c) together with either:
☒ a Certification under 37 CFR 1.97(e), or
☐ a \$200.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with:
☐ a Certification under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2)(ii), and
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

162

Method of Fee Payment:

- ☐ Enclosed is a check in the amount of \$_____.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$_____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Enclosed herewith is form PTO-1449:

- ☒ Copies of the cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No._____, and references that are not required to be submitted under 37 CFR 1.98.
- ☒ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement for reference(s) _____ under 37 CFR 1.98(a)(3) is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ submission of the enclosed International Search Report.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

By

Patricia Granahan

Patricia Granahan
Attorney for Applicants
Registration No.: 32,227
Telephone: (617) 861-6240

Lexington, MA 02173

Dated: October 21, 1993

163

UMMC9103.CER
EWM7:WP
EWM/baf
10/20/93

RECEIVED
NOV 01 1993
GROUP 1800

PATENT APPLICATION
Docket No.: UMMC91-03A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Harriet L. Robinson, Ellen L. Fynan and Rogert G. Webster

Serial No.: 08/009,833

Group Art Unit: 1813

Filed: January 17, 1993

Examiner: L. Smith

For: IMMUNIZATION BY INOCULATION OF DNA TRANSCRIPTION UNIT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Honorable Commissioner of Patents and Trademarks,

Washington, D.C. 20231 on 10/21/93
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

B. J. Hamilton 10/21/93
Signature Date

CERTIFICATION UNDER 37 CFR 1.97(e)

The Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

The undersigned hereby certifies that either:

1. Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
2. No item of information contained in the Information Disclosure Statement
 - was cited in a communication from a foreign patent office in a counterpart foreign application, or
 - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

By Patricia Granahan
Patricia Granahan
Attorney for Applicants
Registration No.: 32,227
Telephone: (617) 861-6240

Lexington, MA 02173
Dated: October 21, 1993

164